Atty. Dkt. No. 023971-0411



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Yasuhisa KITAHARA et al.

Title:

EXHAUST EMISSION CONTROL SYSTEM OF INTERNAL

COMBUSTION ENGINE

Appl. No.:

10/828,436

Filing Date:

04/21/2004

Examiner:

Tu Minh Nguyen

Art Unit:

3748

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of either a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

RELEVANCE OF EACH DOCUMENT

The foregoing documents came to Applicants' attention during a search of the corresponding European application. A copy of the European Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Documents A1 and A4 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A3 and A7 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A2 and A8 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

STATEMENT

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

The undersigned hereby states in accordance with 37 CFR §1.704(d) that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date June 1, 2005

FOLEY & LARDNER LLP Customer Number: 22428

Telephone:

(202) 672-5414

Facsimile:

(202) 672-5399

By Rhackellade

Richard L. Schwaab Attorney for Applicant Registration No. 25,479

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

| number. | | | | | | | |
|---|----------------|---------------|-------|------------------------|-------------------|-----------------|----------|
| • | Substitute for | or form 1449E | B/PTO | | Complete if Known | IPE | |
| | INFORMATI | ON DISCLO | SURE | Application Number | 10/828,436 | 70,5 | Z_{-} |
| | STATEMEN | - | | Filing Date | 04/21/2004 | | <u> </u> |
| - | • = · · · | | | First Named Inventor | Yasuhisa KITAHA | 17 mm 11 7 2000 | Ü |
| Date Submitted: June 1, 2005 (use as many sheets as necessary) | | | | Group Art Unit | 3748 | 7011 | 51 |
| | | | | Examiner Name | Tu Minh Nguyen | 13 | <u> </u> |
| Sheet | 1 | of | 1 | Attorney Docket Number | 023971-0411 | TO TRADENAM | |

| | | | | U.S. PATENT DOCUMENTS | | | |
|-----------------------|--------------|----------------------|---|----------------------------------|------------------------------|--|--|
| Examiner Initials* | Cite No.1 | U.S. Patent Document | | Name of Patentee or Applicant of | Date of Publication of | Pages, Columns, Lines, Where Relevant | |
| | | Number | Kind Code ² (if known) | Cited Document | Cited Document MM-DD-YYYY | Passages or Relevant Figures Appear | |
| | A1 | 2001/0052232 | A1 | HOFFMANN ET AL. | 12-20-2001 | | |
| | A2 | 2004/0123584 | A1 | SCHALLER, JOHANNES | 07-01-2004 | | |
| | A3 | 6,574,956 | B1 | MORAAL ET AL. | 06-10-2003 | | |
| | | | | | | | |
| | | | <u> </u> | | | | |

| | • | | | FC | REIGN PATENT DOCUMENT | | | |
|-----------------------|--------------|-------------------------|----------------------------------|--------------------------------------|----------------------------------|--|---|----------------|
| Examiner Initials* | Cite No.1 | Foreign Patent Document | | | Name of Patentee or | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant | |
| | | Office | ³ Number ⁴ | Kind Code ⁵ (if known) | Applicant of Cited Documents | IVIIVI-DD-1111 | Passages or Relevant Figures Appear | T ⁶ |
| | A4 | DE | 100 23 439 | A1 | DMC2 DEGUSSA METALS CATALYSTS | 11-22-2001 | | Abst. |
| | A5 | DE | 101 44 958 | A1 | VOLKSWAGENWERK AG | 03-27-2003 | | Abst, |
| | A6 | EP | 1 086 741 | A2 | VOLKSWAGENWERK AG | 03-28-2001 | | Abst. |
| | A7 | EP | 1 203 869 | A1 | FORD GLOBAL TECH | 05-08-2002 | | Abst. |
| | A8 | DE | 101 08 720 | A1 | ROBERT BOSCH GMBH | 09-05-2002 | | Abst. |

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|--------------|--|----------------|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ | | |
| | | | | | |
| | | · | | | |
| | | | | | |
| | | | - | | |
| | | | _ | | |

| Examiner Signature | Date Considered | |
|-----------------------|--------------------|--|

burden nour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comment the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.